



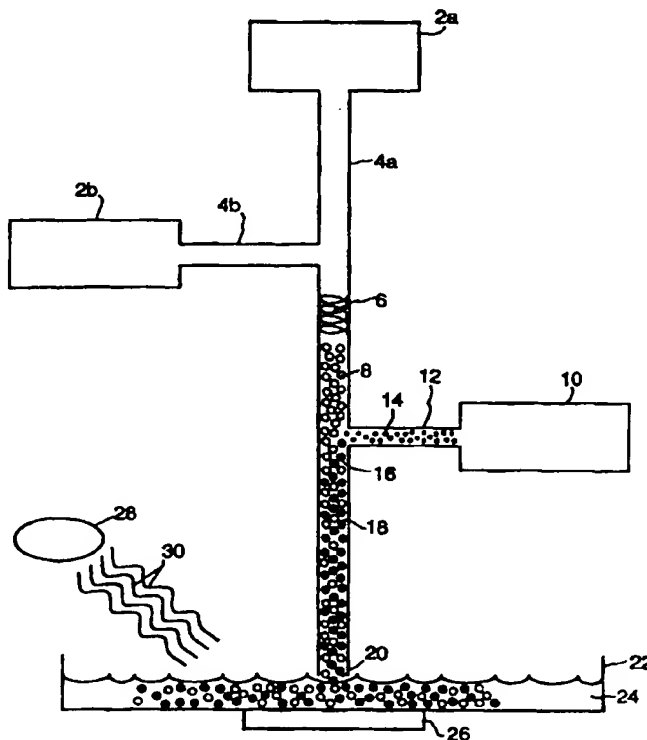
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61K 9/16, 9/50, A61F 2/02, A61L 27/00, C12N 5/00, 11/04, B01J 13/04</b>		(11) International Publication Number: <b>WO 96/40071</b>
<b>A1</b>		(43) International Publication Date: 19 December 1996 (19.12.96)
(21) International Application Number: PCT/US96/05732		(81) Designated States: AU, CA, JP, KR, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(22) International Filing Date: 24 April 1996 (24.04.96)		
(30) Priority Data: 08/484,778 7 June 1995 (07.06.95) US		
(71) Applicant: NEOCRIN COMPANY [US/US]; Suite 100, 31 Technology Drive, Irvine, CA 92718 (US).		
(72) Inventor: LAMBERTI, Francis; 63 Greenfield, Irvine, CA 92715 (US).		
(74) Agents: SCHNEIDER, Carol, A. et al.; Lyon & Lyon, First Interstate World Center, Suite 4700, 633 West Fifth Street, Los Angeles, CA 90071-2066 (US).		Published With international search report.

(54) Title: METHOD FOR THE MANUFACTURE OF MINIMAL VOLUME CAPSULES CONTAINING BIOLOGICAL MATERIAL

## (57) Abstract

The present invention provides methods and a device for producing minimal volume capsules containing viable cells or cellular aggregates. The methods and device use a two-phase aqueous emulsion system to form a dispersion of liquid capsule-forming materials in a continuous liquid phase to which is added a suspension of biological material. Alternatively, the biological material can be added to one or the other of the liquid phases. The composition of this emulsion is adjusted to promote the thermodynamically-driven process for particle engulfment by the dispersed droplets of liquid capsule-forming materials. Subsequently, the droplets engulf the biological material to form a liquid film surrounding the tissue and are converted to solid form, resulting in encapsulation of the biological material in minimum volume capsules.



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**METHOD FOR THE MANUFACTURE OF MINIMAL VOLUME CAPSULES CONTAINING BIOLOGICAL MATERIAL**5     Background

Microencapsulation of cells and/or cell aggregates for implantation in an animal is an area of research currently attracting much interest. The use of microcapsules provides the potential for such medically important procedures as treatment of insulin-dependent diabetes mellitus (IDDM) in humans through transplantation of insulin-producing cells or cell aggregates, and timed release or long term delivery of drugs to an animal.

A variety of procedures for encapsulating useful cells have previously been tried. These procedures include coating cells with both polyanionic and polycationic layers to create a membrane around the cells which is impermeable to antibodies and other elements of the immune response. See for example Lim U.S.P.N. 4,352,883, Lim U.S.P.N. 4,391,909, Lim U.S.P.N. 4,409,331, Tsang et al. U.S.P.N. 4,663,286, Goosen et al. U.S.P.N. 4,673,566, Goosen et al. U.S.P.N. 4,689,293, Rha et al. U.S.P.N. 4,744,933, Rha et al. U.S.P.N. 4,749,620, and Goosen et al. U.S.P.N. 4,806,355.

Biocompatibility problems have arisen with a number of these prior art methods. The body soon rejects the material, creating a coat of fibroblasts which impair transport of oxygen and other nutrients into the microcapsules and the desired cell products out of the microcapsules. Hubbell et al. U.S.P.N. 5,232,984, Hubbell et al. U.S.P.N. 5,380,536 and Hubbell U.S.P.N. 5,410,016 describe methods for increasing the biocompatibility of the encapsulation material.

These prior art capsules are formed either by (i) the formation of ionic cross-linking (e.g. alginate or carrageenan), (ii) a change in temperature (e.g. agarose

or carrageenan), (iv) photopolymerization, or (iv) solvent precipitation (e.g. p(HEMA), Crooks, C.A., et al., J. Biomed. Mater. Res. 24:1241-1262 (1990)).

Other methods, such as the use of a surrounding device, have been employed in an attempt to permit  
5 integration of the implanted cells or cell aggregates into the body without immune rejection of the cells. Altman et al. (Diabetes 36:625-633 (1986)) have placed portions of insulinomas inside tubular membranes for implantation, and Reach et al. (Diabetes 33:752-761 (1984)) have used a U-  
10 shaped ultrafiltration design for implantation. Brauker et al. U.S.P.N. 5,314,471 describes a relatively small, compact implant assembly capable of inducing appropriate vascularization while providing immunoprotection for enclosed cells or cell aggregates.

#### Summary of the Invention

The present invention provides a means for encapsulating cells and/or cellular aggregates in a very small volume of a gellable material to enable implantation  
20 of the cells and/or cellular aggregates into a patient. The capsules created are called minimum volume capsules, or MVCs, due to the small volume encapsulated. This has tremendous advantages of creating very little wasted space and being amenable to providing the immunoprotection  
25 necessary for implantation.

The invention further provides means for creating MVCs in a manner which does not damage the cells and/or cellular aggregates so that viable cells and/or cellular aggregates are made available for implantation.

#### Figures

Figure 1 shows an apparatus for continuous encapsulation of biological material.

Figure 2 shows fibroblast outgrowth from free and  
35 encapsulated islets of Langerhans.

Figure 3a shows insulin secretion by porcine islets in response to a glucose or glucose plus IBMX stimulus; figure 3b shows the same for MVC encapsulated porcine islets.

Figure 4 shows STZ-diabetes correction in athymic mice using MVC encapsulated porcine islets.

Figure 5 shows a glucose-tolerance test on an athymic mouse implanted with rat islets in MVCs, both before and after removal of the implanted MVCs.

#### Description of the Invention

The present invention provides methods for encapsulating biological material by engulfment of the biological material by dispersed liquid droplets of water-soluble polymeric materials in an immiscible continuous phase. The dispersed liquid droplets containing biological materials are subsequently gelled to form solid polymeric particles containing encapsulated cells and/or cellular aggregates. The volume of the dispersed liquid droplets allowed to come in contact with each piece of biological material is kept to a minimum to limit the size of the microcapsule and to avoid aggregation of microcapsules.

In a preferred embodiment, a three phase system is formed, consisting of a continuous aqueous phase, a dispersed aqueous phase, and a solid phase. The aqueous phases are composed of water soluble polymers which are mutually immiscible. The solid phase is comprised of the biological material to be encapsulated.

#### Batch Encapsulation

Encapsulation can be performed on a batch of biological material. In the preferred embodiment, an aqueous solution to form the dispersed phase is rapidly mixed with the continuous phase to form a uniform emulsion. The dispersed phase is itself capable of gelation or contains a component capable of gelation. The

biological material is then added to the emulsion with gentle mixing.

The biological material is coated with the contents of the dispersed phase by collision of liquid droplets with the biological material. Gelation is then induced.

5 In an alternative embodiment, an aqueous solution of biological material is added to the continuous aqueous phase. The water solution added with the biological material is dispersed in the continuous phase, leaving solid particles of biological material suspended in the  
10 continuous phase. The second aqueous liquid phase is then dispersed into the continuous phase in the form of small droplets. As with the previous embodiment, the biological material is coated with the contents of the dispersed phase by collision of liquid droplets with the biological  
15 material, and gelation is then induced.

In a third embodiment, an aqueous solution of biological material is added to the to-be-dispersed phase before dispersion. The water from the aqueous biological material solution disperses into the to-be-dispersed  
20 phase, leaving solid particles of biological material suspended in the to-be-dispersed phase. This mixture is then dispersed into the continuous phase to form small droplets. As the droplets form, excess dispersed phase material is stripped from the droplets, leaving a  
25 sufficient amount to coat the biological material. Gelation is then induced.

#### Continuous Encapsulation

Alternatively, encapsulation can be a continuous  
30 procedure with all components flowing together continually to create microcapsules. Both components for the continuous and dispersed phases are fed into a chamber with continuous mixing to create the emulsion. The emulsion flows through the chamber past the feed of  
35 biological material, which joins the flow. Gentle mixing is provided, either by configuration of the tubing or by

external means, and engulfment of the biological material occurs. The engulfed biological material continues to flow into a curing compartment where gelation is induced.

Figure 1 shows a device for the continuous production of MVCs. This device is optionally sterilizable in order to maintain the sterility of the biological material and the MVCs. This can be accomplished for example by assembling the apparatus aseptically from sterilized parts, such as autoclaved components, or the apparatus can be constructed of materials that allow for the system to be sterilized using steam-in-place or other sterilizing techniques.

Peristaltic or other pumps (2a and 2b) are connected to tubing (4a and 4b) through which the continuous phase and the dispersed phase materials are pumped. In-line mixer elements (6) mix the materials and create an emulsion (8). Another peristaltic or other pump (10) attached to a feed line (12) for biological material (14) pumps the biological material into the emulsion stream. Gentle mixing is provided in the stream beyond the joint (16). Engulfment occurs in the stream. The outlet (20) provides a gentle steady stream of effluent collected into a tank (22) containing physiologically compatible solution containing the curing material (24). The curing material will vary depending on the method of gelation. Optionally a light source (28) is present to provide light (30) of the appropriate wavelength for photopolymerization. Gentle stirring as by a stirrer (26) such as a magnetic stirrer prevents aggregation of nascent capsules during curing.

#### Engulfment

Compounds for the continuous and dispersed phases are chosen so as to create the appropriate differential in surface tension relative to the biological material. This allows the dispersed phase to engulf the biological material, while the continuous phase does not. The

thermodynamic equation governing particle engulfment is as follows: for a particle (P) suspended in a continuous phase (C) coming into contact with a disperse phase (D), the interfacial tension between each of these components can be expressed in the form  $\gamma_{ij}$  where  $\gamma_c$ ,  $\gamma_d$ , and  $\gamma_{cd}$  represent the interfacial tensions between the particle and continuous phase, the particle and discontinuous phase and the continuous and discontinuous phases respectively. The thermodynamic work of engulfment ( $\Delta F_{\text{engulf}}$ ) is the sum of the interfacial tensions formed and the interfacial tensions lost:

$$\Delta F_{\text{engulf}} = \gamma_{pd} - \gamma_{pc} - \gamma_{dc}$$

Engulfment occurs when the Helmholtz free energy of the system is negative ( $\Delta F_{\text{engulf}} < 0$ ). See Omenyi, S.N. et al., J. Appl. Phys. 52:789-802 (1980).

Further, compounds for the two aqueous phases must be biocompatible. By "biocompatible" is meant materials which produce a minimal or no adverse response in the body at the concentrations used.

METHODS IN ENZYMOLOGY Vol. 228, esp. pp. 3-13, (1994) (eds.) Academic Press Limited, London, (incorporated herein by reference) provides an in depth description of methods for determining the usable combinations of polymers to induce partitioning of the biological material into the dispersed phase. Further, Table I lists a variety of polymer combinations which are effective in partitioning. Id. at 4.

For example, the continuous phase polymer can be selected from, but is not limited to, the following group: poly(ethylene glycol), poly(ethylene glycol propylene glycol), poly(vinyl alcohol), benzoyldextran, hydroxypropyl dextran, Ficoll, polyvinylpyrrolidone, poly(styrene sulfonate), DEAE-dextran and acrylic copolymers. The dispersed phase polymer can be selected from, but is not limited to, the following group: dextran, benzoyldextran, hydroxypropyl starch, poly(vinyl alcohol), maltodextrin, pullulan, poly(vinyl methyl ether), dextran sulfate,



carboxymethyl dextran, poly(acrylic acid) and poly(acrylamide).

An example of a polymer combination which can be used for the present invention is the preferred embodiment of poly(ethylene glycol) (PEG) (Fluka Biochemika) in isotonic saline to create the continuous phase in combination with dextran (ICN Biomedical) in isotonic saline to create the dispersed phase. The PEG is dissolved in physiologic saline at a concentration of between 5 and 50% (w/w), preferably between 5 and 25% (w/w), more preferably between 5 and 15% (w/w), and most preferably at about 10% (w/w). The molecular weight of the PEG is between 1 and 100 kD, preferably between 1 and 40 kD, more preferably between 6 and 10 kD, and most preferably about 8 kD. The dextran is dissolved in physiologic saline at a concentration of between 5 and 50% (w/w), preferably between 5 and 25% (w/w), more preferably between 5 and 15% (w/w), and most preferably at about 10% (w/w). Molecular weight of the dextran is between 10 and 400 kD, preferably between 10 and 200 kD, more preferably between 100 and 200 kD, and most preferably about 150 kD.

#### Gelation

The discontinuous phase is either itself capable of gelation or includes a gellable component.

The gelling agent must be gellable under conditions which do not damage the biological material. Thus, gelation can occur for example by changing the conditions of temperature, pH or ionic environment, or by photopolymerization.

Ionic bonding of the compound to physiologically compatible ions such as  $\text{Ca}^{++}$  or  $\text{Ba}^{++}$  to form polymers is one acceptable mode. Examples of compounds capable of such gelation are acidic, water-soluble polysaccharides such as alginate, carrageenan, guar gum, xanthan gum, gum arabic, pectin and tragacanth gum. In the preferred embodiment, alginate (Pronova Biopolymer) is dissolved in

the dispersed phase at a concentration of 0.4 to 4.0% (w/w), preferably 0.4 to 2.0% (w/w), more preferably 1.2 to 1.8% (w/w), and most preferably about 1.6% (w/w). Alginate high in guluronic acid content is preferred. Gelation is induced by the addition of divalent cations such as  $\text{Ca}^{++}$  or  $\text{Ba}^{++}$ .

Other means of gelation such as photopolymerization are also acceptable. Hubbell et al. U.S.P.N. 5,410,016 (incorporated herein by reference) and Hubbell et al. U.S.S.N. 07/958,870 (incorporated herein by reference) describe a variety of compounds which can be photopolymerized to create a microcapsule. Examples of such compounds include macromers which are water soluble compounds and are non-toxic to biological material before and after polymerization, and contain at least two free radical-polymerizable regions. The macromers can optionally have a biodegradable region. Examples of macromers for photopolymerization include unsaturated derivatives of poly(ethylene oxide) (PEO), PEG, poly(vinyl alcohol) (PVA), poly(vinylpyrrolidone) (PVP), poly(ethyloxazoline) (PEOX), poly(amino acids), polysaccharides such as alginate, hyaluronic acid, chondroitin sulfate, dextran, dextran sulfate, heparin, heparin sulfate, heparan sulfate, chitosan, gellan gum, xanthan gum, guar gum, water soluble cellulose derivatives and carrageenan, and proteins such as gelatin, collagen and albumin.

The macromers are mixed with photosensitive chemicals or dyes to allow gelation by shining light of the appropriate wavelength on the engulfed biological material.

Further, mild heating which does not harm the biological material can be used for gelation. example of a gellable material in this category is low-temperature melting agarose.

#### Biological Material

By "biological material" is meant mammalian tissue, cellular aggregates, individual cells, sub-cellular

organelles and other isolated sub-cellular components. Examples of cells which can be encapsulated are primary cultures as well as established cell lines, including transformed cells. These include but are not limited to pancreatic islets of Langerhans, hepatocytes, parathyroid cells, foreskin fibroblasts, Chinese hamster ovary cells, beta cell insulomas, lymphoblastic leukemia cells, mouse 3T3 fibroblasts, dopamine secreting ventral mesencephalon cells, neuroblastoid cells, adrenal medulla cells, and T-cells. As can be seen from this partial list, cells of all types, including dermal, neural, blood, organ, muscle, glandular, reproductive, and immune system cells can be encapsulated successfully by this method. Additionally, proteins (such as hemoglobin), polysaccharides, oligonucleotides, enzymes (such as adenosine deaminase), enzyme systems, bacteria, microbes, vitamins, cofactors, blood clotting factors, drugs (such as TPA, streptokinase or heparin), antigens for immunization, hormones, and retroviruses for gene therapy can be encapsulated by these techniques.

#### Removal of Biological Material Partially Encapsulated

Fully encapsulated biological material does not adhere to tissue culture gel matrix. However, partially encapsulated biological material can be induced to adhere to the gel matrix through outgrowth of associated anchorage-dependent fibroblasts. Relying on this characteristic, an assay was developed to determine the percent of microcapsules which only partially encapsulated the biological material. Microcapsules can be plated on a suitable medium such as Metrigel (Collaborative Biomedical Products) and allowed to grow in culture conditions for a period of around two weeks. The Metrigel or its equivalent enables anchorage of the cells. Fully encapsulated biological material will remain in suspension, while partially encapsulated biological material will adhere to the gel matrix. The supernatant

can be removed along with the suspended microcapsules as a means for purifying the fully encapsulated biological material from that only partially encapsulated.

#### Further Modifications

5       The microcapsules of this invention can be further modified to create additional layers and/or membranes such as by the addition of polycationic layers. These additional layers can provide added structural stability and/or permselectivity. For example, when the gelled  
10       material is a polyanionic polymer such as alginate, polylysine or other polyamines can be ionically bound to the outside to create a membrane. See Lim U.S.P.N. 4,352,883, Lim U.S.P.N. 4,391,909, Lim U.S.P.N. 4,409,331, Tsang et al. U.S.P.N. 4,663,286, Goosen et al. U.S.P.N.  
15       4,673,566, Goosen et al. U.S.P.N. 4,689,293, Rha et al. U.S.P.N. 4,744,933, Rha et al. U.S.P.N. 4,749,620, Goosen et al. U.S.P.N. 4,806,355, and Hubbell et al. U.S.P.N. 5,380,536, incorporated herein by reference, for descriptions of methods for making such a membrane.

20       Alternatively, additional membranes can be created around the microcapsules without relying on interactions with the gelled material. For example, the methods of Hubbell et al., U.S.S.N. 07/958,870 can be utilized to create an additional photopolymerized coat around the  
25       microcapsules of this invention.

#### Implantation

      The microcapsules are preferably gently washed and collected after gelation and any additional modifications.  
30       The encapsulated biological material can be implanted in a patient to provide compositions secreted by the encapsulated material, or to provide the encapsulated material itself. For example, with encapsulation of islets of Langerhans, the microcapsules can be implanted  
35       in a diabetic animal for treatment of diabetes through the production of insulin.

Example 1Batch Encapsulation of Islets of Langerhans

A batch of Islets of Langerhans was prepared for encapsulation. 100 to 50,000 islets, preferably between 5,000 and 30,000 islets, and most preferably between 15,000 and 25,000 islets were used. Islets were maintained in culture for from 0 to 72 hours, preferably between 6 and 24 hours, and most preferably overnight after isolation. Islets were pooled to a single 50 ml centrifuge tube. The islets were centrifuged to form a pellet (40g for 4 minutes). The culture supernatant was removed and the islet pellet resuspended in isotonic saline containing 10 mM HEPES. The washing procedure was preferably repeated three times to remove excess proteins from the islets.

A sample of washed resuspended islets was removed for counting to determine the correct volume to use for the procedure. The appropriate number of washed islets were then pelleted and the supernatant replaced with a 5:1 volume ratio of 10% dextran and 1.6% alginate mixture. The islets were gently mixed in this solution. Alternatively, the pelleted islets were directly resuspended in about 1 ml isotonic saline.

A uniform emulsion containing a 20:5:1 volume ratio of 10% PEG:10% dextran:1.6% alginate was prepared in a separate 50 ml centrifuge tube by vigorous mixing using a vortex mixer or equivalent. The islets in the dextran-alginate mixture were pelleted and the supernatant removed to leave a concentrated islet suspension in about 1 ml of solution.

The freshly prepared uniform emulsion was quickly added to the islet suspension, and the tube was gently mixed using a rocking table or by hand to prevent distinct phase separation of the dextran and PEG phases. This mixing lasted for between 1 and 15 minutes, preferably between 5 and 15 minutes, and most preferably between 8 and 12 minutes.

The emulsion containing islets was then slowly poured into a 250 ml beaker containing 150 ml of gently stirred curing buffer containing 10 mM HEPES isotonic saline supplemented with barium or calcium chloride between 10 and 100 mM, preferably between 10 and 50 mM, and most preferably between 10 and 30 mM divalent metal salts. The stirring was used to prevent aggregation of the nascent capsules during ionic cross-linking and to ensure dissolution of the water-soluble dextran and PEG away from the capsules.

The nascent capsules were then allowed to settle and cure in the curing buffer for between 2 and 30 minutes, preferably between 2 and 20 minutes, most preferably between 5 and 15 minutes. The supernatant above the settled capsules was slowly decanted and the capsules were rinsed with fresh curing buffer. By repeating the process of resuspension and decanting, empty capsules can be removed from the preparation.

The resuspended capsules were transferred to a fresh 50 ml centrifuge tube and centrifuged at 40g for 4 minutes in the cold (2°C to 8°C). The supernatant was removed and the capsules resuspended and washed in buffered isotonic saline. The fully cured encapsulated islets were resuspended and washed three times in culture media. The encapsulated islets were resuspended in culture media and maintained in culture using standard methods for islet culture.

#### Example 2

##### Continuous Encapsulation of Islets of Langerhans

A batch of Islets of Langerhans is prepared for encapsulation. 100 to 50,000 islets, preferably between 5,000 and 30,000 islets, and most preferably between 15,000 and 25,000 islets are used. Islets are maintained in culture for from 0 to 72 hours, preferably between 6 and 24 hours, and most preferably overnight after isolation. Islets are pooled to a single 50 ml centrifuge

tube. The islets are centrifuged to form a pellet (40g for 4 minutes). The culture supernatant is removed and the islet pellet resuspended in isotonic saline containing 10 mM HEPES. The washing procedure is preferably repeated three times to remove excess proteins from the islets.

5       The islets are resuspended to a concentration of between 1,000 to 40,000 islets/ml, preferably between 5,000 and 30,000 islets/ml, and most preferably to between 18,000 and 22,000 islets/ml in an isotonic saline solution.

10       The apparatus for continuous encapsulation of islets is prepared as follows. Peristaltic pumps are attached to tubing such that a controlled feed of PEG at a rate of about 20 ml/min is maintained through one tube and a controlled feed of dextran or a solution containing  
15       dextran and alginate at a volume ratio of 5:1 at a rate of about 5 ml/min is maintained through the other tube. The tubes are arranged so that they join together into one channel with the PEG and dextran or dextran/alginate flows concomitantly joining together. In-line mixing elements  
20       then act on the mixture in the channel to create an emulsion wherein the PEG is in the continuous phase and the alginate and/or dextran are in the dispersed phase. An additional tube joins the channel, and islets suspended in either saline or alginate are pumped through this third  
25       tube at a rate of about 1 ml/min. The islet feed stream is gently mixed with the emulsified carrier stream through the configuration of the islet feed stream inlet into the channel. The channel outlet provides a gentle steady stream of effluent collected into a gently stirred tank  
30       containing curing buffer composed of 10 mM HEPES isotonic saline supplemented with barium or calcium chloride between 10 and 100 mM, preferably between 10 and 50 mM, and most preferably between 10 and 30 mM divalent metal salts. The stirring was used to prevent aggregation of  
35       the nascent capsules during ionic cross-linking and to

ensure dissolution of the water-soluble dextran and PEG away from the capsules.

5 The nascent capsules are then allowed to settle and cure in the curing buffer for between 2 and 30 minutes, preferably between 2 and 20 minutes, most preferably between 5 and 15 minutes. The supernatant above the settled capsules is slowly decanted and the capsules are rinsed with fresh curing buffer. By repeating the process of resuspension and decanting, empty capsules can be removed from the preparation.

10 The resuspended capsules are transferred to a fresh 50 ml centrifuge tube and centrifuged at 40g for 4 minutes in the cold (2°C to 8°C). The supernatant is removed and the capsules resuspended and washed in buffered isotonic saline. The fully cured encapsulated islets are resus-  
15 pended and washed three times in culture media. The encapsulated islets are resuspended in culture media and maintained in culture using standard methods for islet culture.

20 Example 3

Separation of Fully Encapsulated Islets of Langerhans

To estimate the number of partially encapsulated islets, a fibroblast outgrowth assay was developed. Anchorage-dependent fibroblasts are routinely found  
25 associated with islets even after several days of culture. Encapsulated islets were plated onto Metrigel gel matrix which provides support for the rapid growth of anchorage dependent cells such as fibroblasts. Full encapsulation of an islet would prevent fibroblast outgrowth from the  
30 islet to the growth matrix, hence fibroblast only occurs from partially encapsulated islets.

Two batches of free or encapsulated islets were tested. Approximately 100 islets or encapsulated islets were counted out into tissue culture treated wells coated  
35 with Metrigel. The number of islets from which fibroblast outgrowth occurred was measured over a 2 week study



period. Figure 2 shows the percent fibroblast outgrowth of two encapsulated cultures and two unencapsulated control cultures over time. Approximately 10% of the encapsulated islets demonstrated fibroblast outgrowth, indicating approximately 90% of the islets were completely encapsulated by the method.

The fully encapsulated islets were then segregated from the partially encapsulated islets. This was accomplished by culturing the encapsulated islets on Metrigel coated tissue culture plates for between 3 and 7 days. Partially encapsulated islets demonstrated fibroblast outgrowth and were irreversibly adhered to the Metrigel by the fibroblasts. Fully encapsulated islets remained in suspension and were removed by removal of the growth medium. The capsules were then washed prior to either further culturing or implantation.

#### Example 4

##### In Vitro Characterization of Encapsulated Islets

The ability of encapsulated porcine islets of Langerhans to respond to a change in glucose concentration was measured using a static glucose stimulation assay performed either in the presence or absence of isobutyl methyl xanthine (IBMX), a potentiator of insulin secretion in response to a glucose challenge. These results were compared to those obtained for free pig islets. The results of these assays are summarized in Figure 3a and 3b. Figure 3a shows the response of unencapsulated islets, while Figure 3b shows the response of capsules encapsulated according to the invention. This figure demonstrates that the encapsulated islets are responsive to glucose concentration and secrete insulin in the same manner as unencapsulated islets.

Example 5In Vivo Performance of Encapsulated Islets

The ability of encapsulated porcine islets to function *in vivo* was assayed using diabetes correction studies with STZ-diabetic athymic mice. See Juno, A. et al., J. Clin. Invest. 48:2129-2139 (1969) for a description of STZ induced diabetes. The number of islet equivalents implanted into the kidney capsule of STZ-athymic mice, either as free or encapsulated islets, required to achieve correction was measured.

The results of these assays are presented in Figure 4. As can be seen from the data, islets encapsulated according to the present invention are equally effective as unencapsulated islets in correcting STZ-diabetes.

The *in vivo* effectiveness of islets encapsulated in MVCs was further analyzed using glucose tolerance testing (GTT) in athymic mice. Figure 5 shows the results of GTT in mice six months after implantation of rat islets in MVCs both prior to (●) and after (■) explantation of the MVCs. As can be seen from the figure, the implanted MVCs were capable of maintaining glucose at appropriate levels, while after explantation of the MVCs, the blood glucose returned to diabetic levels.

The Examples included herein are not to be construed as limiting on the invention, but are provided to illustrate some variations of the invention. The invention is to be limited only by the claims that follow.

Claims

1. A method for encapsulating biological material comprising

a. preparing an emulsion comprising a continuous phase biocompatible aqueous polymeric solution, a dispersed phase biocompatible aqueous polymeric solution and said biological material wherein the surface tension of the continuous phase, the dispersed phase and the biological material are thermodynamically related so as to induce engulfment of the biological material by the dispersed phase, and wherein the dispersed phase comprises a gellable component;

b. allowing the dispersed phase of the emulsion to engulf the biological material; and

c. gelling the gellable component of the dispersed phase.

2. The method of claim 1 wherein the continuous phase is an aqueous solution comprising a polymer selected from poly(ethylene glycol), poly(ethylene glycol propylene glycol), poly(vinyl alcohol), benzoyldextran, hydroxypropyl dextran, Ficoll and polyvinylpyrrolidone.

3. The method of claim 1 wherein the dispersed phase is an aqueous solution comprising a polymer selected from dextran, benzoyldextran, hydroxypropyl starch, poly(vinyl alcohol), maltodextrin, pullulan, poly(vinyl methyl ether), dextran sulfate, carboxymethyl dextran, poly(acrylic acid) and poly(acrylamide).

4. The method of claim 1 wherein the biological material is selected from mammalian cells, cell aggregates and tissue.

5. The method of claim 4 wherein the biological material is selected from pancreatic islets of Langerhans, hepatocytes, parathyroid cells, foreskin fibroblasts,

Chinese hamster ovary cells, beta cell insulomas, lymphoblastic leukemia cells, mouse 3T3 fibroblasts, dopamine secreting ventral mesencephalon cells, neuroblastoid cells, adrenal medulla cells, and T-cells.

5           6. The method of claim 5 wherein the biological material is pancreatic islets of Langerhans.

7. The method of claim 1 wherein the biological material is selected from sub-cellular organelles and  
10 other sub-cellular components.

8. The method of claim 6 wherein the biological material is selected from proteins, polysaccharides, oligonucleotides, enzymes, enzyme systems, bacteria,  
15 microbes, vitamins, cofactors, blood clotting factors, drugs, antigens, hormones, and retroviruses.

9. The method of claim 1 wherein the biological material is added to the continuous phase prior to  
20 emulsion formation.

10. The method of claim 1 wherein the biological material is added to the dispersed phase prior to emulsion  
25 formation.

11. The method of claim 1 wherein the biological material is added after emulsion formation.

12. A method for encapsulation of islets of  
30 Langerhans comprising

a. preparing an emulsion comprising a continuous phase biocompatible aqueous solution comprising poly(ethylene glycol), a dispersed phase biocompatible aqueous polymeric solution comprising dextran and  
35 alginate, and said islets wherein the surface tension of the continuous phase, the dispersed phase and the

biological material are thermodynamically related so as to induce engulfment of the biological material by the dispersed phase;

b. allowing the dispersed phase of the emulsion to engulf the islets; and

5 c. gelling the alginate.

13. The method of claim 12 wherein the islets are added to the emulsion of the continuous phase and the dispersed phase.

10

14. The method of claim 12 wherein the islets are added to the continuous phase before the emulsion is formed.

15

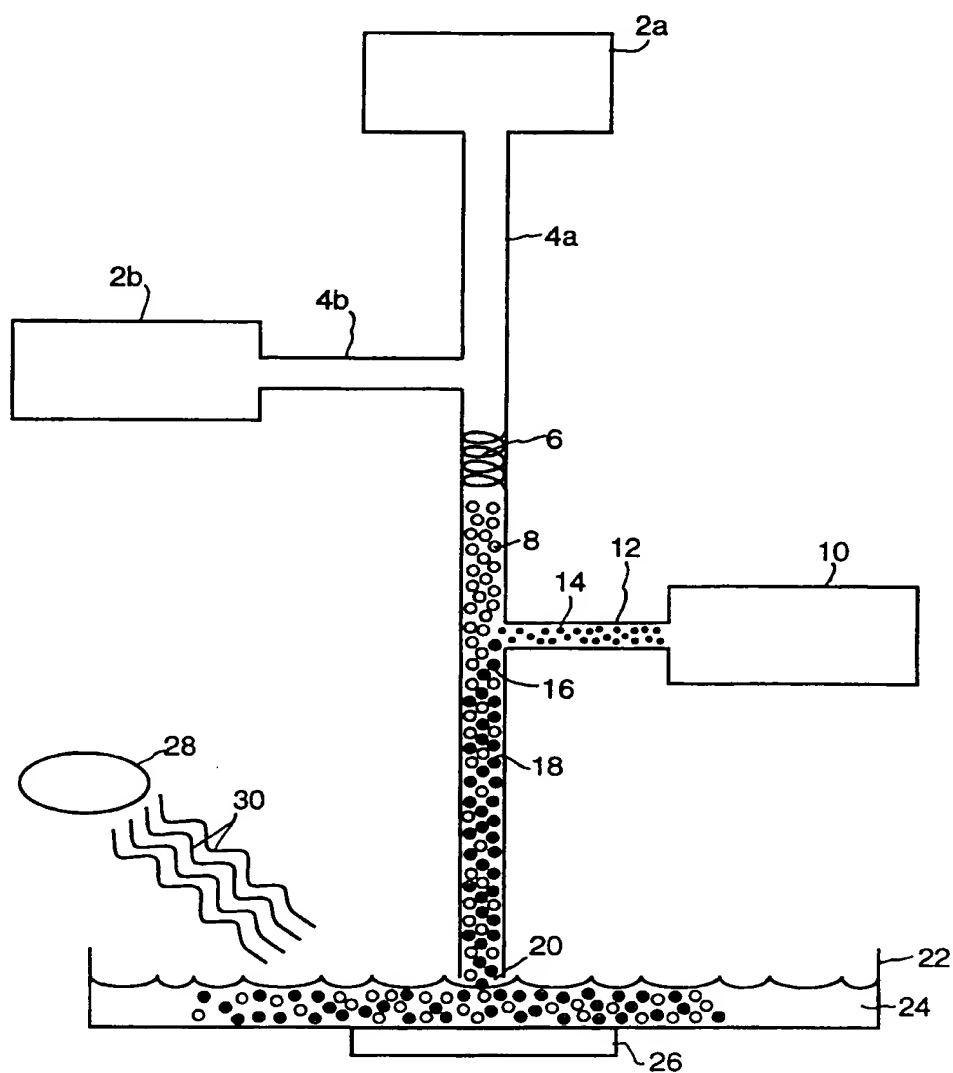
15. The method of claim 12 wherein the islets are added to the dispersed phase before the emulsion is formed.

20

16. A device for the continuous formation of minimum volume capsules comprising a means for forming an emulsion of a dispersed phase comprising a gellable component and a continuous phase, a means for continuously transporting said emulsion through a conduit, a means for adding biological material to said emulsion in said conduit such that the dispersed phase of the emulsion engulfs the biological material, and a capture container for receiving said engulfed biological material wherein said gellable component can be gelled.

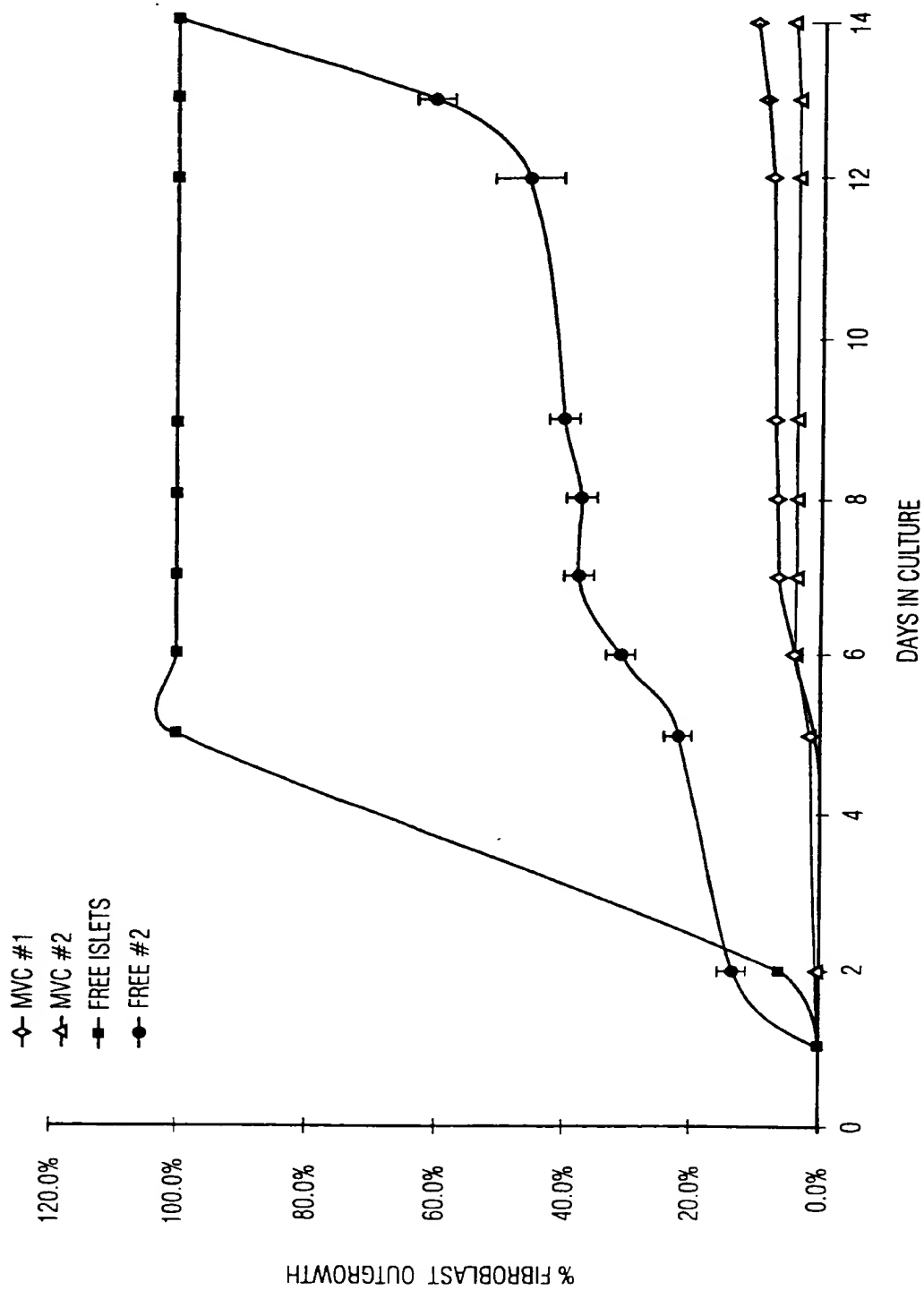
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*Fig. 1***SUBSTITUTE SHEET (RULE 26)**

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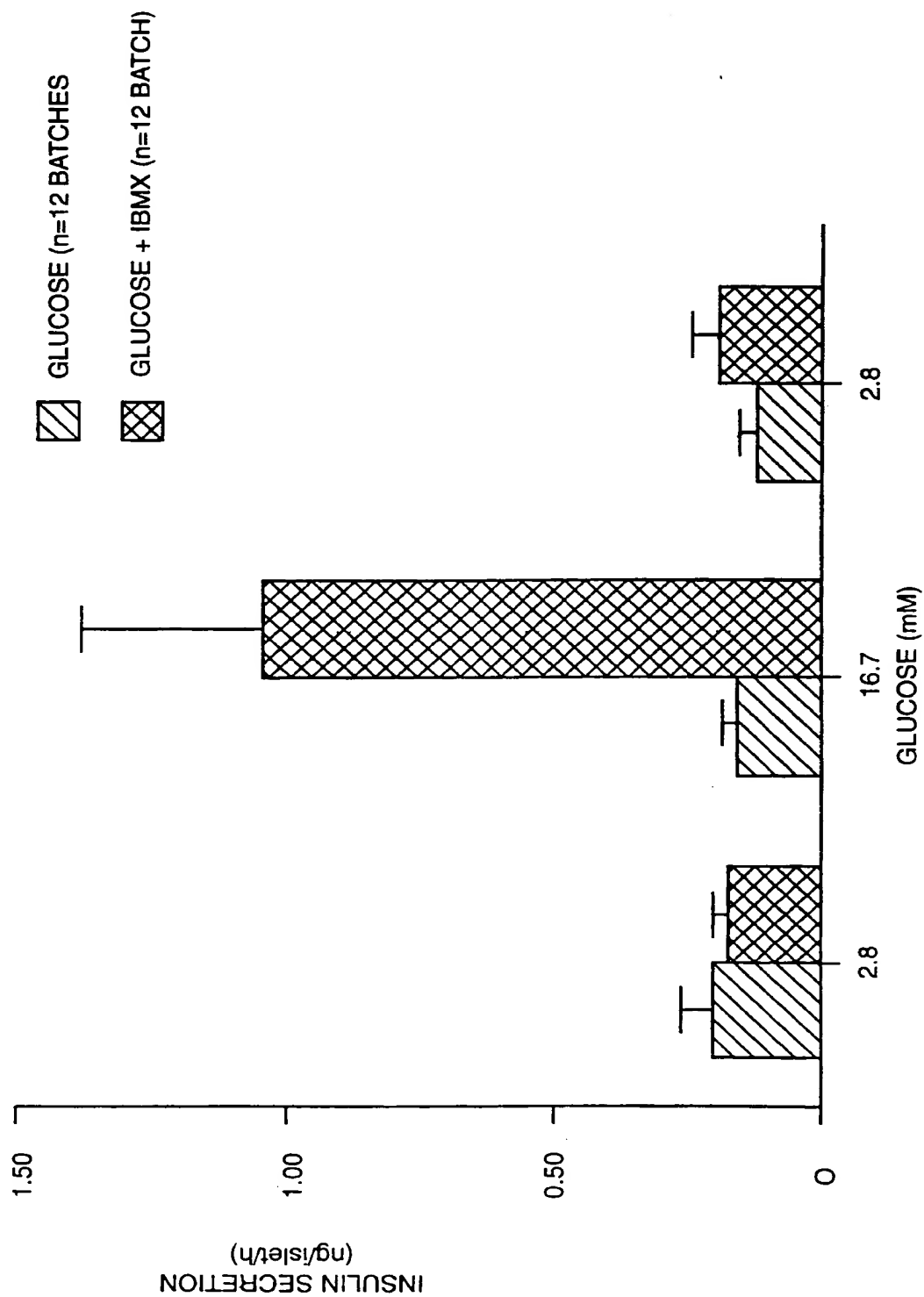
Fig. 2



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Fig. 3A



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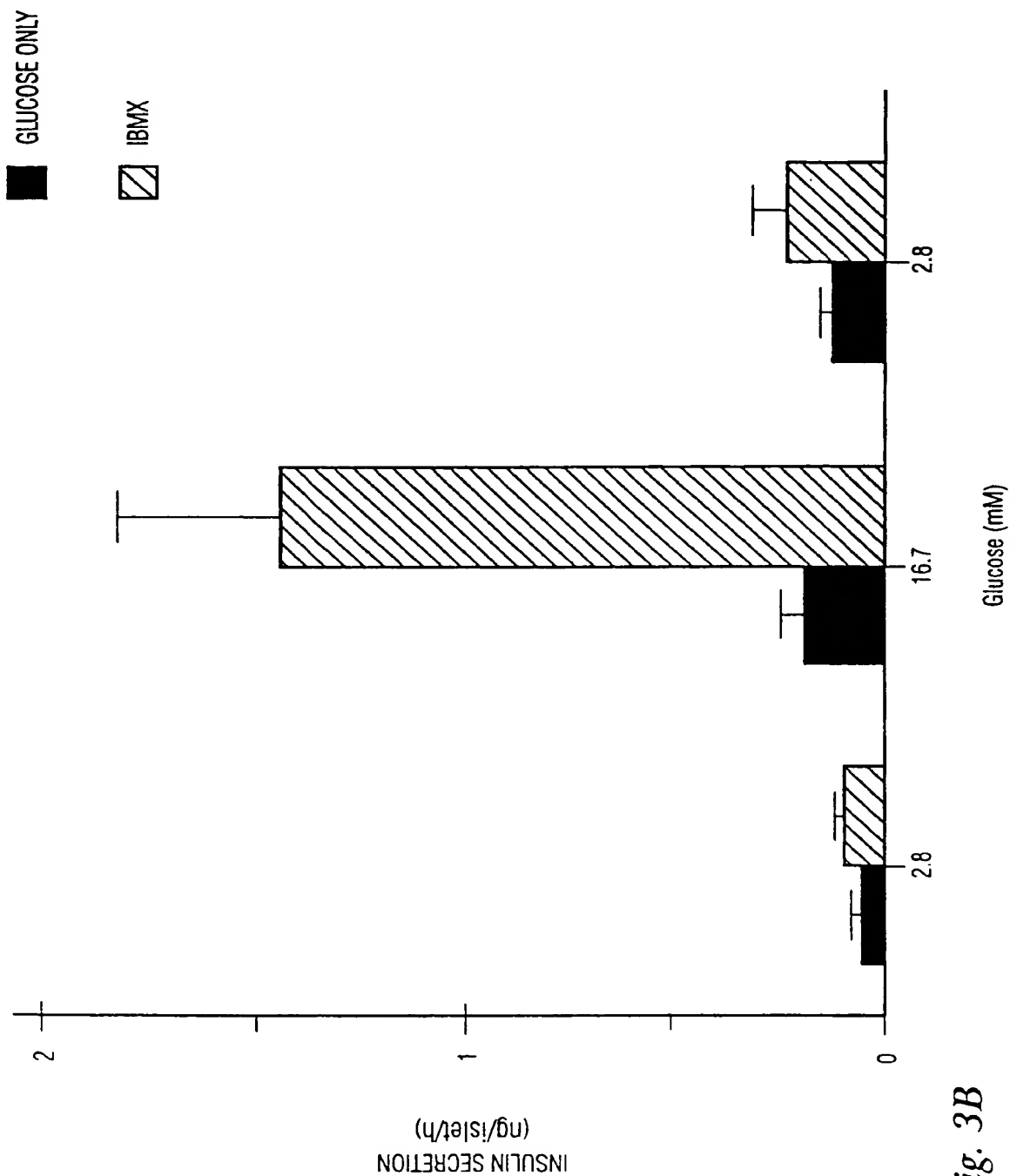
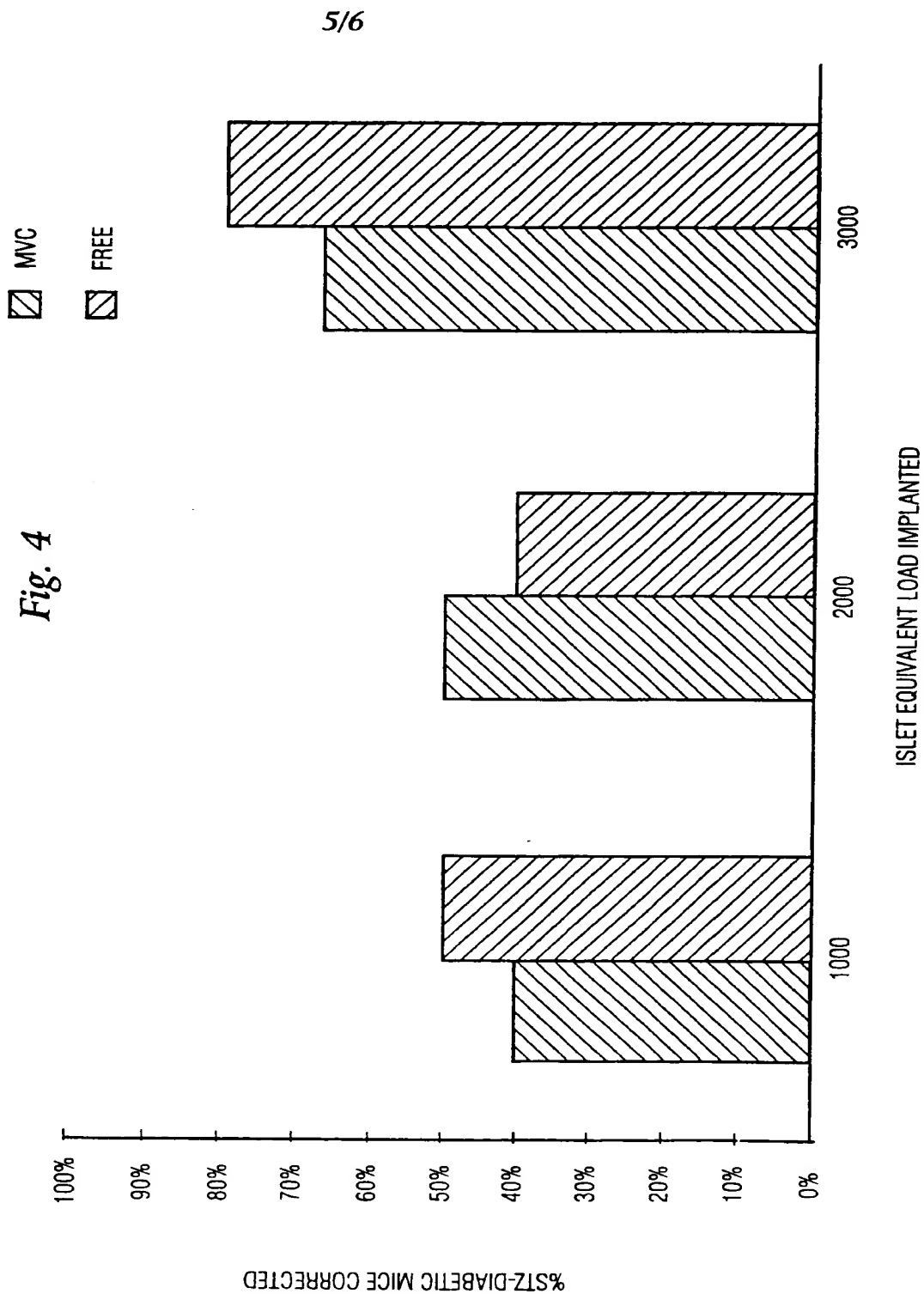


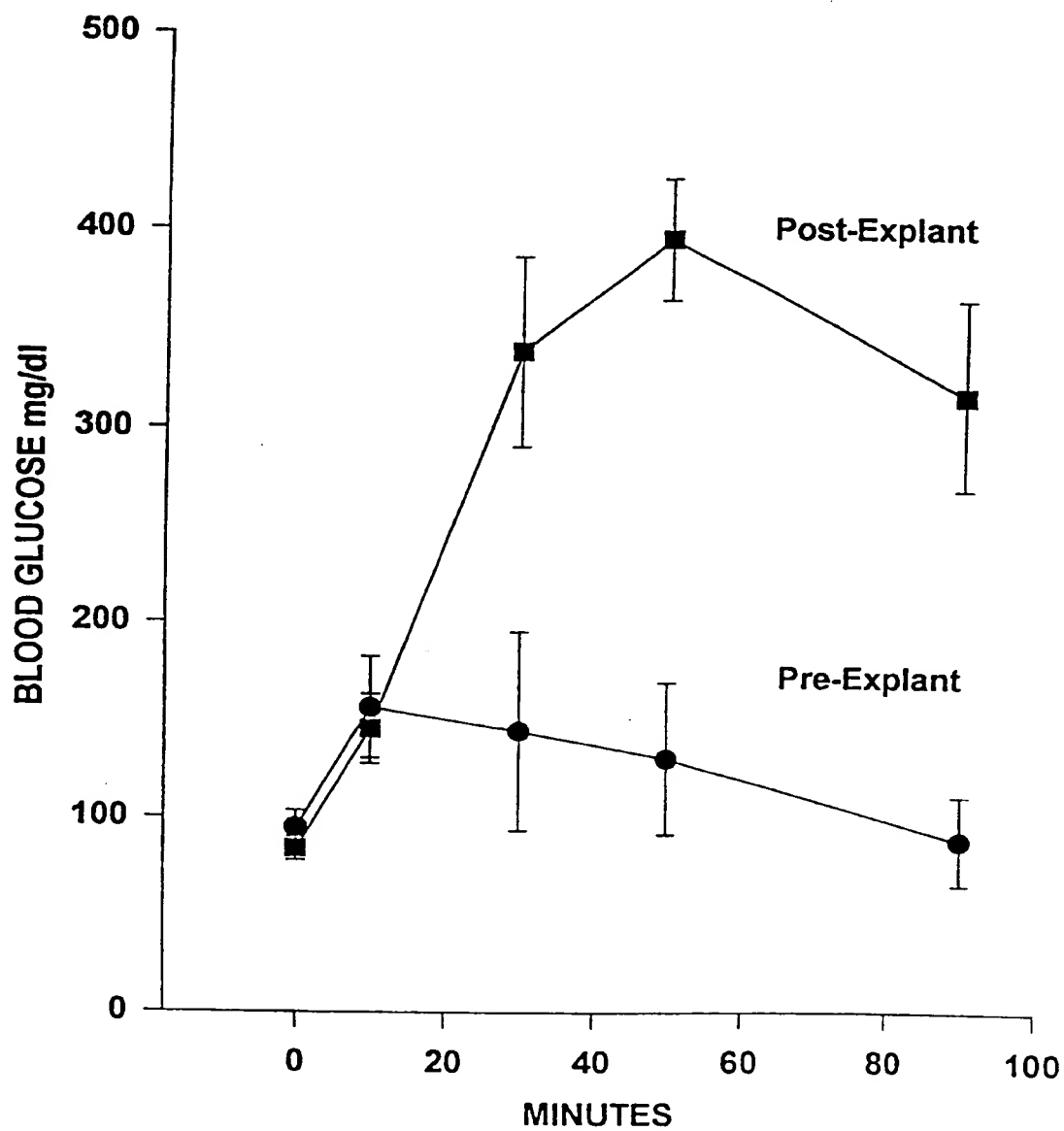
Fig. 3B

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*Fig. 5***SUBSTITUTE SHEET (RULE 26)**

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 96/05732

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61K9/16 A61K9/50 A61F2/02 A61L27/00 C12N5/00  
C12N11/04 B01J13/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP,A,0 213 303 (MAGNUS ET AL.) 11 March 1987	1-15
A	see column 8, line 35 - column 9, line 10 see column 10, line 29 - line 44 see column 14; example 8 see claim 10	16
A	--- WO,A,90 08551 (DAMON BIOTECH, INC.) 9 August 1990 see page 8 - page 10; examples 1,2	1-16
A	--- WO,A,93 09176 (CLOVER CONSOLIDATED, LIMITED) 13 May 1993 see page 30 - page 31; example 19 -----	1-16

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

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- "&" document member of the same patent family

Date of the actual completion of the international search  22 August 1996	Date of mailing of the international search report  06.09.96
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016	Authorized officer  Benz, K

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Information on patent family members

International Application No

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